



D Y PATIL
UNIVERSITY

PUNE | AMBI

॥ ज्ञानधीनं जगत् सर्वम् ॥

UGC Proforma Student Enrolment & Student Support

Section 4

- 4.5 Annexure XIII –Student Scholarship**
- 4.6 Annexure XIV – PhD Ordinance**
- 4.9 Annexure XV – Grievance Redressal Committee**

D Y Patil University Pune Ambi
MIDC Road, Ambi, Pune
www.dypatiluniversitypune.edu.in



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Section 4

4.5 Annexure XIII- Student Scholarship

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Annexure XIII

List of Students Financial Assistance in AY 2023-24

Sr. No.	Student Name	Fee Concession Amount Rs.
1	AMLAN SANYAL	40000.00
2	ANUSHKA	40000.00
3	ATIMA BHARTI	40000.00
4	SUBHASH SUDHIR DESHMUKH	40000.00
5	GHADIGAONKAR SAKSHI NAMDEV	40000.00
6	GHARE SHRAVANI MAHENDRA	40000.00
7	SAKSHI SACHIN HADAWALE	40000.00
8	HANDA RONIT VIKRAM	40000.00
9	SHAHISTA ISHAQUE INAMDAR	40000.00
10	KAKADE LAUKIK VIVEK	40000.00
11	KAMBLE ADITI LAXMAN	40000.00
12	MALI CHANDRESHWAR RAJENDRA	40000.00
13	NALAWADE POONAM UTTAM	40000.00
14	NIRMAL PRATIK PANDITRAO	40000.00
15	RAJYAGURU VAIDANTI HITESHBHAI	40000.00
16	SALUNKHE POOJA RAVINDRA	40000.00
17	SAEE SANTOSH SUTAR	40000.00
18	SWAPNIL SRIVASTAVA	40000.00
19	TAMANNA YASMIN	40000.00
20	TANISHQ	40000.00
21	VISHWAKARMA VIVEK PREM	40000.00
22	MUDGUL SHREYA RAVINDRA	25000.00
23	MANOJ NANASAHEB WALKE	25000.00
24	ADALINGE SNEHAL DIPAK	25000.00





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Sr. No.	Student Name	Fee Concession Amount Rs.
25	HARSHITA RAJEEV AGARWAL	25000.00
26	AMAR ANKUSH MOTE	25000.00
27	ASHTEKAR YASH RAHUL	25000.00
28	BHOSALE KAUSTUBH HARISHCHANDRA	25000.00
29	BIDLAN AAYUSHI KISHOR	25000.00
30	CHOTAI RIYA MUKUND	25000.00
31	CHOUDHAREY PRAJAKTA SURESH	25000.00
32	DERE SAURABH BALKRUSHNA	25000.00
33	SAKSHI RAJENDRA DHORE	25000.00
34	INGOLE JAYASH SHANKAR	25000.00
35	MANAS MAHESH JOSHI	25000.00
36	KADAM AYUSH ARUN	25000.00
37	KADAM SEJAL AMIT	25000.00
38	ABHISHEK SANTOSH KHAIRE	25000.00
39	TEJAS SANTOSH KULKARNI	25000.00
40	OSWAL KINJAL DINESH	25000.00
41	PATIL SUYASH SURESH	25000.00
42	PETE VEDANT GAJANAN	25000.00
43	PRIYANSHI	25000.00
44	SAMARTH PRANJALI SACHIN	25000.00
45	SHAHID ISMUDDIN ANSARI	25000.00
46	SHAIKH ARIF YAQOOB	25000.00
47	SHAIKKH WAHIEDA SHAKKILAHMAD	25000.00
48	SURYAWANSHI RATAN SANJAY	25000.00
49	THOMBARE PANKAJ NANASO	25000.00
50	NIKHIL SHENPADU WADILE	25000.00



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Sr. No.	Student Name	Fee Concession Amount Rs.
51	WAKSHE VISHAL PRAKASH	25000.00
52	GAI ATHARVA RAJENDRA	67500.00
		1590000.00




Mr. Ashok Patil
Registrar



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List of Students Financial Assistance in AY 2022-23

Sr. No.	Student Name	Fee Concession Amount Rs.
1	AMLAN SANYAL	40000.00
2	ANUSHKA	40000.00
3	ATIMA BHARTI	40000.00
4	SUBHASH SUDHIR DESHMUKH	40000.00
5	GHADIGAONKAR SAKSHI NAMDEV	40000.00
6	GHARE SHRAVANI MAHENDRA	40000.00
7	SAKSHI SACHIN HADAWALE	40000.00
8	HANDA RONIT VIKRAM	40000.00
9	SHAHISTA ISHAQUE INAMDAR	40000.00
10	KAKADE LAUKIK VIVEK	40000.00
11	KAMBLE ADITI LAXMAN	40000.00
12	MALI CHANDRESHWAR RAJENDRA	40000.00
13	NALAWADE POONAM UTTAM	40000.00
14	NIRMAL PRATIK PANDITRAO	40000.00
15	RAJYAGURU VAIDANTI HITESHBHAI	40000.00
16	SALUNKHE POOJA RAVINDRA	40000.00
17	SAEE SANTOSH SUTAR	40000.00
18	SWAPNIL SRIVASTAVA	40000.00
19	TAMANNA YASMIN	40000.00
20	TANISHQ	40000.00
21	VISHWAKARMA VIVEK PREM	40000.00
22	MUDGUL SHREYA RAVINDRA	25000.00
23	MANOJ NANASAHEB WALKE	25000.00
24	ADALINGE SNEHAL DIPAK	25000.00
25	HARSHITA RAJEEV AGARWAL	25000.00
26	AMAR ANKUSH MOTE	25000.00
27	ASHTEKAR YASH RAHUL	25000.00
28	BHOSALE KAUSTUBH HARISHCHANDRA	25000.00
29	BIDLAN AAYUSHI KISHOR	25000.00
30	CHOTAI RIYA MUKUND	25000.00
31	CHOUDHAREY PRAJAKTA SURESH	25000.00
32	DERE SAURABH BALKRUSHNA	25000.00
33	SAKSHI RAJENDRA DHORE	25000.00
34	INGOLE JAYASH SHANKAR	25000.00
35	MANAS MAHESH JOSHI	25000.00



[Signature]




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36	KADAM AYUSH ARUN	25000.00
37	KADAM SEJAL AMIT	25000.00
38	ABHISHEK SANTOSH KHAIRE	25000.00
39	TEJAS SANTOSH KULKARNI	25000.00
40	OSWAL KINJAL DINESH	25000.00
41	PATIL SUYASH SURESH	25000.00
42	PETE VEDANT GAJANAN	25000.00
43	PRIYANSHI	25000.00
44	SAMARTH PRANJALI SACHIN	25000.00
45	SHAHID ISMUDDIN ANSARI	25000.00
46	SHAIKH ARIF YAQOOB	25000.00
47	SHAIKKH WAHIEDA SHAKKILAHMAD	25000.00
48	SURYAWANSHI RATAN SANJAY	25000.00
49	THOMBARE PANKAJ NANASO	25000.00
50	NIKHIL SHENPADU WADILE	25000.00
51	WAKSHE VISHAL PRAKASH	25000.00
52	GAI ATHARVA RAJENDRA	67500.00
		1657500.00




Mr. Ashok Patil
Registrar



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List of Students Financial Assistance in AY 2021-22

Sr. No.	Student Name	Fee Concession Amount Rs.
1	AMLAN SANYAL	40000.00
2	SUBHASH SUDHIR DESHMUKH	40000.00
3	ANUSHKA	40000.00
4	GHADIGAONKAR SAKSHI NAMDEV	40000.00
5	GHARE SHRAVANI MAHENDRA	40000.00
6	TANISHQ	40000.00
7	SAKSHI SACHIN HADAWALE	40000.00
8	HANDA RONIT VIKRAM	40000.00
9	SHAHISTA ISHAQUE INAMDAR	40000.00
10	KAKADE LAUKIK VIVEK	40000.00
11	KAMBLE ADITI LAXMAN	40000.00
12	MALI CHANDRESHWAR RAJENDRA	40000.00
13	NALAWADE POONAM UTTAM	40000.00
14	NIRMAL PRATIK PANDITRAO	40000.00
15	RAJYAGURU VAIDANTI HITESHBHAI	40000.00
16	SALUNKHE POOJA RAVINDRA	40000.00
17	SWAPNIL SRIVASTAVA	40000.00
18	SAEE SANTOSH SUTAR	40000.00
19	VISHWAKARMA VIVEK PREM	40000.00
20	TAMANNA YASMIN	40000.00
21	ATIMA BHARTI	40000.00
22	ADALINGE SNEHAL DIPAK	25000.00
23	HARSHITA RAJEEV AGARWAL	25000.00
24	SHAHID ISMUDDIN ANSARI	25000.00
25	ASHTEKAR YASH RAHUL	25000.00
26	BHOSALE KAUSTUBH HARISHCHANDRA	25000.00
27	BIDLAN AAYUSHI KISHOR	25000.00
28	CHOTAI RIYA MUKUND	25000.00
29	CHOUDHAREY PRAJAKTA SURESH	25000.00
30	DERE SAURABH BALKRUSHNA	25000.00
31	SAKSHI RAJENDRA DHORE	25000.00
32	INGOLE JAYASH SHANKAR	25000.00
33	PRIYANSHI	25000.00
34	MANAS MAHESH JOSHI	25000.00
35	KADAM AYUSH ARUN	25000.00



[Signature]



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Sr. No.	Student Name	Fee Concession Amount Rs.
36	KADAM SEJAL AMIT	25000.00
37	ABHISHEK SANTOSH KHAIRE	25000.00
38	TEJAS SANTOSH KULKARNI	25000.00
39	AMAR ANKUSH MOTE	25000.00
40	MUDGUL SHREYA RAVINDRA	25000.00
41	OSWAL KINJAL DINESH	25000.00
42	PATIL SUYASH SURESH	25000.00
43	PETE VEDANT GAJANAN	25000.00
44	SAMARTH PRANJALI SACHIN	25000.00
45	SHAIKH ARIF YAQOOB	25000.00
46	SHAIKKH WAHIEDA SHAKKILAHMAD	25000.00
47	SURYAWANSHI RATAN SANJAY	25000.00
48	THOMBARE PANKAJ NANASO	25000.00
49	NIKHIL SHENPADU WADILE	25000.00
50	WAKSHE VISHAL PRAKASH	25000.00
51	MANOJ NANASAHEB WALKE	25000.00
52	ANAND CHANDRAKANT FAVADE	50000.00
53	CHOUDHARY HARSHADA CHANDARAM	50000.00
54	BHAMBURE ABHISHEK RAJENDRA	25000.00
55	CHAUDHARY CHANDRIKA VAJARAM	25000.00
56	GADHAVE SONAL SANDIP	25000.00
57	GHOTKULE SHWETA DATTATRAY	25000.00
58	JAGTAP KAUSTUBH KISAN	25000.00
59	KALE MEGHRAJ PRALHAD	25000.00
60	KALE POONAM MANOJ	25000.00
61	LANDE VAISHNAVI BALASAHEB	25000.00
62	NAIKARE VIRASHRI MARUTI	25000.00
63	SHELAR YUKTA JALINDAR	25000.00
64	SUBHANE PURVA VIKAS	25000.00
65	TAKALKAR SUMIT SURESH	25000.00
66	GAI ATHARVA RAJENDRA	67500.00
		2057500.00



(Signature)
Mr. Ashok Patil
Registrar



List of Students Financial Assistance in AY 2020-21

Sr. No.	Student Name	Fee Concession Amount Rs.
1	AMLAN SANYAL	40000.00
2	SUBHASH SUDHIR DESHMUKH	40000.00
3	ANUSHKA	40000.00
4	GHADIGAONKAR SAKSHI NAMDEV	40000.00
5	GHARE SHRAVANI MAHENDRA	40000.00
6	TANISHQ	40000.00
7	SAKSHI SACHIN HADAWALE	40000.00
8	HANDA RONIT VIKRAM	40000.00
9	SHAHISTA ISHAQUE INAMDAR	40000.00
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11	KAMBLE ADITI LAXMAN	40000.00
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13	NALAWADE POONAM UTTAM	40000.00
14	NIRMAL PRATIK PANDITRAO	40000.00
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17	SWAPNIL SRIVASTAVA	40000.00
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24	SHAHID ISMUDDIN ANSARI	25000.00
25	ASHTEKAR YASH RAHUL	25000.00
26	BHOSALE KAUSTUBH HARISHCHANDRA	25000.00
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30	DERE SAURABH BALKRUSHNA	25000.00
31	SAKSHI RAJENDRA DHORE	25000.00
32	INGOLE JAYASH SHANKAR	25000.00
33	PRIYANSHI	25000.00
34	MANAS MAHESH JOSHI	25000.00

**D Y PATIL**

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Sr. No.	Student Name	Fee Concession Amount Rs.
35	KADAM AYUSH ARUN	25000.00
36	KADAM SEJAL AMIT	25000.00
37	ABHISHEK SANTOSH KHAIRE	25000.00
38	TEJAS SANTOSH KULKARNI	25000.00
39	AMAR ANKUSH MOTE	25000.00
40	MUDGUL SHREYA RAVINDRA	25000.00
41	OSWAL KINJAL DINESH	25000.00
42	PATIL SUYASH SURESH	25000.00
43	PETE VEDANT GAJANAN	25000.00
44	SAMARTH PRANJALI SACHIN	25000.00
45	SHAIKH ARIF YAQOOB	25000.00
46	SHAIKKH WAHIEDA SHAKKILAHMAD	25000.00
47	SURYAWANSHI RATAN SANJAY	25000.00
48	THOMBARE PANKAJ NANASO	25000.00
49	NIKHIL SHENPADU WADILE	25000.00
50	WAKSHE VISHAL PRAKASH	25000.00
51	MANOJ NANASAHEB WALKE	25000.00
52	SHRADDHA RAJESH MISHRA	25000.00
53	SIDDHI	25000.00
54	YADAV KUNAL ANIL	25000.00
55	ANAND CHANDRAKANT FAVADE	50000.00
56	CHOUDHARY HARSHADA CHANDARAM	50000.00
57	BHAMBURE ABHISHEK RAJENDRA	25000.00
58	CHAUDHARY CHANDRIKA VAJARAM	25000.00
59	GADHAVE SONAL SANDIP	25000.00
60	GHOTKULE SHWETA DATTATRAY	25000.00
61	JAGTAP KAUSTUBH KISAN	25000.00
62	KALE MEGHRAJ PRALHAD	25000.00
63	KALE POONAM MANOJ	25000.00
64	LANDE VAISHNAVI BALASAHEB	25000.00
65	NAIKARE VIRASHRI MARUTI	25000.00
66	SHELAR YUKTA JALINDAR	25000.00
67	SUBHANE PURVA VIKAS	25000.00
68	TAKALKAR SUMIT SURESH	25000.00
69	GAI ATHARVA RAJENDRA	67500.00
		2132500.00





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Section 4

4.6 Annexure XIV- PhD Ordinance

D Y Patil University Pune Ambi
MIDC Road, Ambi, Pune
www.dypatiluniversitypune.edu.in



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PUNE, AMBI

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AMBI

PH.D. ORDINANCE 2020



D Y Patil University

Sr. No. 124 & 126, Ambi MIDC Road, Maval, Talegaon
Dabhade, Pune, Maharashtra - India, 410506

+91 844 8444 230

<https://dypatiluniversitypune.edu.in/>



D Y PATIL
UNIVERSITY

PUNE, AMBI

Guidelines, Rules and Regulations

Ph.D. Programme

June 2020-2021

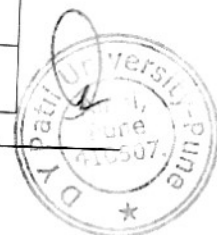
D Y Patil University, Pune, Ambi

<http://dypatiluniversitypune.edu.in>



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These rules and regulations made by DYPU, Pune, Ambi are based on:

MINISTRY OF HUMAN RESOURCE DEVELOPMENT, UNIVERSITY GRANTS COMMISSION, NOTIFICATION, New Delhi, the 5th May, 2016.

University Grants Commission (Minimum Standards and Procedure for Award of M.PHIL./PH.D Degrees) Regulations, 2016 {in supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil. /Ph.D. Degree) Regulation, 2009, notified in The Gazette of India [No. 28, Part III- Section 4] for the week July 11-July 17, 2009}

No. F. 1-2/2009(EC/PS)V(I) Vol. II - In exercise of the powers conferred by clauses (f) and (g) of sub-section of Section 26 of the University Grants Commission Act, 1956 (3 of 1956), and in supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degree) Regulation, 2009, notified in The Gazette of India [No. 28, Part III-Section 4] for the week July 11 — July 17, 2009.

1. Short title, Application and Commencement

- 1.1. These Regulations may be called D Y PATIL UNIVERSITY, Pune, Ambi Regulations for the Award of PhD Degree from 2020. They shall apply to every school under D Y PATIL UNIVERSITY, Pune, Ambi.
- 1.2. They shall come into force from the date of their issuance

2. Ph.D. programs offered by the University

- 2.1. With reference to the below given names of the schools PhD program will be announced for the academic year 2020-2021

Table 1 Schools offering PhD program

Sr. No.	School offering PhD Program
1.	School of Architecture
2.	School of Engineering
3.	School of Management

Note: Number of seats per School/Department may change depending on availability of Ph.D. vacancies under the Supervisors & suitability of the candidates.

3. Eligibility Criteria for Admission to PhD Program

Subject to the conditions stipulated in these Regulations, the following persons are eligible to

seek admission to the PhD program

3.1. Master's degree holders satisfying the criteria

- A. Candidates for admission to the PhD programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- B. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC(non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

3.2. Candidates possessing a degree considered equivalent to Master's Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.

4. Duration of the Programme

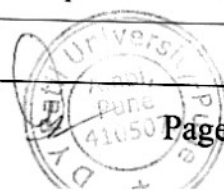
- 4.1. Ph.D. program shall be for a minimum duration of three years, including course work and a maximum of six years.
- 4.2. Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the DYPU, the maximum possible extension is for 1 year. The Extension shall be granted by Research Advisory Committee (RAC) based on the

recommendations of Research Committee (RC) at department/school.

- 4.3. The women candidates and persons with disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

5. Procedure for admission

- 5.1. DYPU shall admit candidates through Entrance Test and Personal interview at university. Candidates qualified in UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE (valid score)/teacher fellowship holder will be exempted from entrance test.
- 5.2. Process for admission notification and declaration of vacancies:
- 5.2.1. Respective schools of DYPU shall decide on an annual basis a predetermined and manageable number of Ph.D. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio (as indicated in Para 6.5) , laboratory, library and such other facilities;
- 5.2.2. Head Admissions, DYPU shall notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination center(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates;
- 5.2.3. DYPU shall adhere to the National/State-level reservation policy, as applicable.
- 5.3. The admission to PhD program shall be based on the criteria notified by the DYPU, keeping in view the guidelines/norms in this regard issued by the UGC and other Statutory bodies concerned, and taking into account the reservation policy of the Central/State Government prescribed from time to time.
- 5.4. The DYPU shall admit candidates through entrance test and personal interview
- 5.4.1. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the university notified in advance. Qualifying marks in Entrance Test shall be 50%.
- 5.4.2. An interview is to be organized by respective School/Department. The candidates are



required to discuss their research interest/area through a presentation before a duly constituted Research and Recognition Committee (RRC).

5.5. The personal interview shall also consider the following aspects, viz. whether:

- The candidate possesses the competence for the proposed research;
- The research work can be suitably undertaken at the School/Department;
- The proposed area of research can contribute to new/additional knowledge.

5.6. The eligible and qualified candidates shall be short-listed on the basis of merit and number of vacancies available in a School/Department for personal interview by the Research Committee of the department.

5.7. The DYPU shall maintain the list of all the Ph.D. Registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

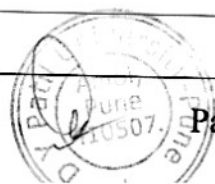
6. Recognition of Research Supervisor and Allocation

6.1. Any regular Professor of the DYPU with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the DYPU with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of referred journals, the School/Department may relax the above condition for recognition of a person as research supervisor with reasons recorded in writing.

6.2. Only a full time regular teacher of the DYPU can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the recommendation and approval of Research Advisory Committee (RAC).

6.3. The allocation of Research Supervisor for a selected research scholar shall be decided by the department concerned, depending on the number of scholars per research supervisor, the available specialization among the supervisors and research interests of the scholars as indicated by them at the time of interview as a part of selection process.

6.4. In case of non-availability research expertise in interdisciplinary research area, department may appoint an external research supervisor as co-guide with the Research Supervisor of DYPU from the respective department. Further, Co-Supervisor from outside Department/College/Institution is required to comply and with recommendations of RRC and be approved by RAC.



- 6.5. A research supervisor/co-supervisor who is a Professor, at any given point of time, cannot guide more than **Three (3)** M.Phil. and **Eight (8)** Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of **Two(2)** M.Phil. and **Six (6)** Ph.D. scholars. and an Assistant Professor as research supervisor can guide up to a maximum of **One (1)**M.Phil. and **Four (4)** Ph.D. scholars.
- 6.6. Over and above the decision of allotment of number of student to the research guide is reserved with RAC, DYPU to ensure the quality of research. However, the decision of allotment of number of students shall be based on tenure at DYPU, date/year of appointment, research experience etc.
- 6.7. In case of relocation of an Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.
- 6.8. **Allocation :** A candidate may work under any recognized research guide of the University, except relatives such as husband / wife / daughter / son / brother / sister, who shall indicate on the application form his/her willingness to guide the student, subject to the condition that a guide shall at one time have not more than eight research students in all faculties
- 6.9. **Conditions Governing the M. Phil/Ph. D. Research Guide ship**
- 6.9.1. If an applicant is awarded recognition as a Research Guide the recognition letter for the guide ship will be issued up to his/her attaining the age of 60 years or till he/she is a regular faculty in the University Department from which he/she had made an application for the guide ship.
- 6.9.2. Applicant shall not be eligible for making fresh application for Ph.D. guide, if he/she has attained the age of 60 years at the time of making application.
- 6.9.3. It is essential to take a co-guide (should be approved Research Guide of D Y Patil University)in case of those Ph.D. Research Guides; whose length of service as a 'Regular Faculty' is not more than two years.
- 6.9.4. No fresh Ph.D. Research Students should be registered with Ph.D. Research Guides whose length of service as a 'Regular Faculty is not more than two years.

6.10. Guidance by Co-Guide / New Guide:

6.10.1. In case of demise of a research guide, the student is allowed to opt and apply for a new guide according to the specialization.

6.10.2. If 50% or more work has been completed by the candidate under the deceased guide, the recognized co-guide may be appointed to supervise the work of the candidate. The name of the deceased guide should be printed on the thesis along with the name of co-guide.

7. Course Work: Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.

7.1. The credit assigned to the Ph.D. Coursework shall be of 16 credits.

7.2. The course work shall be treated as prerequisite for Ph.D. Preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree.

7.3. The distribution of credits under DYPU is as per Table 2:

Table 2 Credit Distribution for course work

Sr. No.	Course Title	Credits
Course-I	Research Methodology	4
Course-II	Writing Research Proposal	1
	Writing Review	1
	Seminar	2
Course-III	*Subject specific advanced level courses	8
Total		16 Credits

Table 3 Examination scheme

Name of the Course	Duration (hrs)	Credits	EXAMINATION SCHEME		
			# Continuous Assessment	End Semester Exam	Total
Research Methodology	60	4	40	60	100
Writing Research Proposal	15	1	25	--	25
Writing Review	15	1	25	--	25
Seminar	30	2	-	50	50
*Subject specific advanced level course - 1	60	4	40	60	100
*Subject specific advanced level course - 2	60	4	40	60	100
Total	240	16	170	230	400

The continuous assessment of theory course shall be evaluated on the basis of the minimum two class tests/assignments/case studies/quizzes for each theory course of 20 marks each.

***Subject specific advanced level course 1 and 2:** Student have an option to choose any one of the Subject Specific advanced level courses from NPTEL/MOOCs related to the Ph.D. topic/Discipline. This certification is required to be equivalent in terms of credits and duration (in hours) as defined in the above table. The course is to be recommended by Guide and approved by RAC. The student should score minimum 55% marks in the chosen course from NPTEL/MOOCs. The submission of the certificate shall carry the weightage of 4 credits and treated as waiver of evaluation of the course.

The research scholars are required to appear and pass the coursework examination as per the above assessment scheme. There after students are permitted to start the research work.

- 7.3. All candidates admitted to the Ph.D. programme shall be required to complete the course work prescribed by the School/Department during the first year.
- 7.4. Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the School/Department through research and recognition committee (RRC) and shall be communicated to Controller of Examination, DYPU.
- 7.5. The Controller of Examination is responsible to conduct the coursework examination of PhD program.
- 7.6. A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC

7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.

7.7. Award of Grade

Grade	Percentage of Marks Obtained	Remarks
P	55-100	Pass
F	Below 55	Fail
FX	-----	Detained, Repeat the course
II	-----	Incomplete-Absent for Exam but continue the course

- **P Grade**

The grade 'P' is passing grade. The candidate acquiring 'P' grade in a course shall be declared to have passed that course.

- **F Grade**

The grade 'F' shall be treated as failure grade.

- **FX Grade**

The grade 'FX' in a course is awarded by the research centre, if the student does not maintain the minimum attendance in the theory/class as prescribed by the University and/or his /her performance during the semester is not satisfactory.

- **II Grade**

Grade 'II' shall be awarded to a candidate in a course in which he has the minimum attendance as prescribed by the School and satisfactory in semester performance but could not appear for the end semester examination. Such a student shall have to appear for the End Semester Examination as and when it is conducted by the Institute.

7.7.1 Continuous Assessment

Table 4 Continuous Assessment

Title	Head	Mark
Seminar	Performance of the student in the collection of the reference material and its understanding for seminar	40
	Punctuality, Enthusiasm and aptitude of student in Preparing seminar / completing the report	10
Writing Research Proposal	Final Presentation and submission of the Report	25
Writing Review	Final Presentation and submission of the Report	25

7.7.2. End Semester Examination

The End Semester Examination for theory course is of two hours duration and 60 Marks.

8. Research Advisory Committee and its functions

8.1. There shall be a Research Advisory Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the Institution concerned, for each Ph.D. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This committee shall have the following responsibilities:

8.1.1. To review the research proposal and finalize the topic of research

8.1.2. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.

8.1.3. To periodically review and assist in the progress of the research work of the research scholar.

8.2. A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six-monthly progress reports shall be submitted by the RAC to the school and department with a copy to research scholar.

8.3. In case the progress of the research is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. Further, if the research scholar fails to implement these suggestions or corrective measures, the Research Advisory

Committee shall submit the recommendations in writing with specific reasons for cancellation of the registration of the research scholar to School/Department and Controller of Examinations for necessary action.

8.4. After submission of a research proposal by a student for registration to the Ph.D. degree course, the student along with his guide should be called before an (RAC) Scrutiny Committee for oral presentation. The Scrutiny Committee will go into the details of the proposal and will instruct the student to submit the proposal or improve the proposal or resubmit the proposal for the consideration of the Committee. The quorum for the Scrutiny Committee shall be three members. In case of the resubmission of the proposal, specific written instructions should be given to the concerned candidate. Resubmitted proposal will be forwarded to the Chairman of the RAC along with his remarks to the University office.

8.5. The procedure and processing of applications will be as follows:

All applications will be placed before the Research Advisory Committee for provisional approval of titles of proposed research work. In case of revision recommended by the Research Advisory Committee, the concerned Dean and HOD shall approve the revised proposal

- i) After the approval of the title and synopsis by the Research Advisory Committee, the candidate will be in the first instance asked to pay the necessary fees and on receipt of fees, a provisional admission letter will be issued which will be confirmed on the fulfillment of eligibility conditions.
- ii) On approval by the Research Advisory Committee, the final letter will be issued to the candidate, copy of which will be endorsed to all concerned.
- iii) In case of non-confirmation of the topic by the Research Advisory Committee leading to rejection, the fees except registration fee and eligibility fees will be refunded with a deduction of 10% administrative expenses.
- iv) After final registration, the title along with details of the student, guide, and institute shall be announced on the web to avoid duplication.

9. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.

9.1. Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-

clauses 7.7 above, as the case may be, the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis within a reasonable time, as stipulated by the department/school based on these Regulations.

- 9.2. At least 3 months prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.
- 9.3. The Controller of Examination of the DYPU shall evolve mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.
- 9.4. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the University/School, of whom one examiner may be from outside the state/country. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.
- 9.5. The public viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination. If one of the evaluation reports of the external examiner in case of Ph.D. thesis is unsatisfactory and does not recommend viva-voce, the DYPU shall send the thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected, and the

research scholar shall be declared ineligible for the award of the degree.

- 9.6. The DYPU shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the dissertation/thesis.

10. Academic, administrative and infrastructure requirement to be fulfilled by Schools / Departments for getting recognition for offering Ph.D. program

- 10.1. Post-graduate School/Department may be considered eligible to offer Ph.D. Programme only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per these Regulations.
- 10.2 Post-graduate School/Department may also access the required facilities of the neighboring Institutions/Colleges, or of those Institutions/Colleges/R&D laboratories/Organizations which have the required facilities.
- 10.3 Schools/Department with adequate facilities for research as mentioned below shall offer Ph. D. programs:
- 10.3.1 In case of science and technology disciplines, research laboratories with sophisticated equipment as specified by the School/Department concerned with provision for adequate space along with computer facilities and essential software, and uninterrupted power and water supply to be made available to research scholar
- 10.3.2 Earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials;

11. Treatment of Ph.D. Through Distance Mode

- 11.1 As per UGC Regulations or any other Rule or Regulation, for the time being in force, DYPU shall not conduct Ph.D. Programs through distance education mode till any further notification by UGC.

12. Award of Ph.D. degrees prior to Notification of these Regulations, or degrees awarded by foreign Universities

- 12.1. Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil./Ph.D. Degree) Regulation, 2009.

12.2. If the Ph.D. degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.

13. Progress Reports

13.1. Students have to submit minimum six progress reports at the interval of six months duration. Students can have a presentation of their work in front of research advisory committee. Reports of the same should be communicated to Head of Research Centre.

13.2. Each candidate shall submit to the Head of Research Centre through his guide six monthly progress reports, in a format mentioned in Annexure 4. If a candidate fails to submit two consecutive progress reports as stated above, he/she shall be asked to explain the reasons, if any, for the same within a period of one month. After one month all such cases will be placed before the RAC for its recommendations and on recommendations of the RAC, the Vice Chancellor will decide about the cancellation of registration.

14. Publication Requirement

Ph.D. scholars must publish research papers as mentioned below before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.

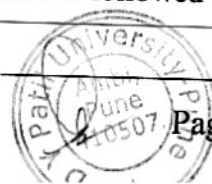
14.1. Mandate ONE peer reviewed research publication in referred Journal (indexed in SCI) with good impact factor in relevant area of research where in the research scholar would mention her/his affiliation as "Research Scholar, Name of the School, Name of the Department, D Y Patil University, Pune, Ambi" along with email ID of university (name@dypatiluniversity.edu.in). **AND**

14.2. At least ONE peer reviewed research publication indexed in SCOPUS list of journals with good Impact factor in relevant area of research. **AND**

14.3. At least one peer reviewed research publications indexed in SCOPUS Journal **or** presentation on two International WoS/SCOPUS indexed/IEEE conferences **or** TWO UGC listed journals with good impact factor in relevant area of research.

14.4. Research publications published in Journals through conferences will not be considered in category (13.1) and (13.2) for publication.

14.5. In all above publications first author should be research scholar followed by supervisor and



joint supervisor if any.

15. Synopsis Submission

At the end of three years candidate shall give a presentation of their work in front of research advisory committee. The work will be followed by submission of synopsis to Head of Research Centre. Three copies of synopsis should be submitted to Head of Research Centre through the guide, HOD and head of an institution with recommendations from research advisory committee. All the copies should be submitted to Head of Research Centre.

Change in Title:

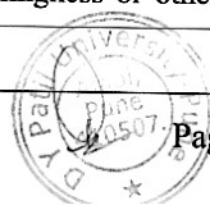
A candidate may be allowed to change the title of his/her research work subject to approval by the research advisor committee and provided he/she applies for the change at least six months before the anticipated date of submission of his/her thesis or earlier. The candidate shall submit his application duly recommended by the guide, through the concerned H.O.D. If the change involves an altogether different topic from what was registered, the candidate shall not be allowed submission of his thesis unless he/she works for four more terms i.e. minimum prescribed period of Ph.D. study from the date of permission.

Change of Guide

A candidate maybe permitted by the Research Advisory Committee to change his guide provided he/she applies for the change and the application for change is consented by both the guides i.e. present and proposed. In case of dispute Vice - Chancellor's decision will be final.

16. Appointment of Referee

- Research guide/HOD/HOI will suggest and forward the list of four referees.
- Two of them should be from Maharashtra.
- And remaining two from out of Maharashtra.
- Contact details of the referee shall be shared with Head of Research Centre office on Annexure 9.
- Hon'ble Vice Chancellor will select two of them for further evaluation. Synopsis shall be forwarded to the selected referees for further evaluation. (Work will be done by Head of Research Centre office)
- The external referees should communicate their willingness or otherwise to evaluate



the Ph.D. thesis within one month of the receipt of University letter.

- External referees should submit their evaluation reports within one month of the receipt of the thesis in the prescribed proforma as mentioned in Annexure 13 along with a detailed report.

17. Final Thesis Submission

As per the recommendation of referees, students will do all the necessary corrections in their research work. Students will be given six months time to write and submit their final thesis after the remarks of referees. Initially, students will submit two spiral bound copies of the thesis. Those copies shall be sent to referees again for final confirmation. After confirmation, students will be advised to go for final binding of the thesis. Students need to submit three copies of the final thesis.

A) Submission

1. A candidate shall make a presentation of his / her thesis before Research Advisory Committee at least two months prior to submission of the thesis. The research student shall incorporate suggestions made by the committee and then only the thesis shall be submitted.
2. A candidate may submit his thesis any time during the year, after the completion of the minimum prescribed period of Three years with completion of Pre - Ph. D. theory course work.
3. A candidate has to submit 3 hard copies of the thesis and soft copies in 1 CD along with a certificate signed by the Supervisor and attested by the Head of the Department through Head of Research Centre to the Controller of Examination. (Extra copies if required by student can be kept with school)
4. The procedure for appointment of examiners will be undertaken soon after the candidate submit his/her synopsis and pays the necessary deposit. In case the candidate does not submit his/her thesis within a period of six months the deposit will stand forfeited and he/she will have to pay a fine of Rs. 500/- per month of submission. The panel of examiners recommended will be valid for one year only.

B) The unanimous opinion of the external referees shall be accepted by the Vice-Chancellor.

The decision shall be in accordance with the opinions of the majority of external



referees. In the event of rejection by one external referee, next external referee shall be appointed by the Vice-Chancellor. If revision of the thesis is suggested by one of the external referees, the thesis shall be revised by the candidate for resubmission as suggested by the referee. The revised thesis shall be sent to the same referee (who has suggested the revision) for evaluation. If revision of the thesis is suggested by both the external referees, thesis is considered for resubmission provided that the following conditions are fulfilled.

Thesis rejected by all the external referees will not be considered for resubmission:

- a) The revised thesis will have to be submitted by the candidate within the maximum period of two years.
- b) The revised thesis shall be sent to the same referees for evaluation along with both the previous reports.

18. Open Defense and Viva Voce

- There shall be a viva-voce with Open Defense System.
- An open defense shall be conducted only after receiving positive reports from the referees.
- Internal referee (Ph.D. guide) and one external referee shall conduct the Viva Voce of the candidate.
- The subject expert or faculty nominated by Hon'ble Vice Chancellor shall act as a Chairperson. (The Head of the Department or the Dean of the concerned faculty or the senior teacher in the subject concerned nominated by the Vice - Chancellor shall act as a Chairperson for the open defense.)
- Remaining external referees can forward their concerns or queries to the selected referees for further discussion at the time of viva-voce i.e. other external referee may send questions in writing to the referees attending the viva - voce to seek clarification on any point in the thesis. However, queries raised by the external referees in their reports should be placed before the viva-voce.
- A chairperson, an external referee, and internal referee shall prepare a joint report of open defense and forward it through Head of Research Centre to Hon'ble Vice Chancellor and the respective School office.
- The recommendations shall be specific and final.
- They shall recommend either the award of the degree to the candidate or a fresh open

defense within six months if the performance of the candidate is unsatisfactory.
19. Declaration of Result
<ul style="list-style-type: none"> • The date of viva voce would be considered to be the date of declaration of result. • On the final approval of the Hon'ble Vice Chancellor, the respective School shall declare the result after the fulfillment of all the conditions.
20. Depository with INFLIBNET
<p>20.1. Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all.</p> <p>20.2. Prior to the actual award of the degree, the Controller of Examination of DYPU shall issue a provisional certificate to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2016.</p>
21. Redressal of Grievance
<p>21.1. In case of any dispute between a candidate and Research Supervisor, the Committee consisting of the following shall examine the matter and report to the Vice Chancellor of the DYPU, whose decision shall be final. (a) Dean of the Faculty concerned (Chairman); (b) Nominee of the Vice Chancellor; (c) The Head, Place of Research (If the complaint is against Head/the Dean, shall not participate in the proceedings of the meeting. In that case, additional member/s may be appointed by the Vice Chancellor to replace them.)</p> <p>21.2. The report shall include, among other things, specific recommendations. The report shall be submitted to the Vice Chancellor of DYPU.</p>
22. Research Collaboration Center (RCC)
<p>22.1. Research Collaboration Centers (RCCs): DYPU has/shall have collaboration with research organizations/institutes/ academic research centers with an aim to contribute for the evidence-based research for the contribution in the knowledge of respective area/field. This collaboration focused on the exchange of knowledge, expertise and resources with each other. The Institutes is identified on the basis of following criteria to be considered as Research Collaboration Centers (RCC's) of DYPU, Pune, Ambi:</p> <p>22.1.1. The research centers / laboratories/ institutes engaged in research should have minimum five years existence with the required research infrastructure such as</p>



technical labs, equipment's, computer labs, library resources etc.

22.1.2. In case of the requirement of Co-guide, the research guide has to be approved and recognized by DYPU, Pune, Ambi.

22.1.3. Research scholar has to comply with the credit requirements as laid down such as course work, examination, presentations, pre-requisite course(s) and all the defined norms for completion of PhD Programme.

23. Amendment and Repeal of ordinance

23.1. Notwithstanding all that has been stated above, the prescribed authority has the right to modify, amend and/or repeal the above ordinance, or any part thereof, from time to time.

~~~~ End of the Fourth Ordinances ~~~~





**D Y PATIL**  
**UNIVERSITY**

PUNE, AMBI

**DYPU**  
**AMBI**

# PH.D. ORDINANCE 2020

**D Y Patil University**

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**D Y PATIL**  
**UNIVERSITY**

PUNE, AMBI

## **Guidelines, Rules and Regulations**

### **Ph.D. Programme**

**June 2020-2021**

**D Y Patil University, Pune, Ambi**

**<http://dypatiluniversitypune.edu.in>**





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**These rules and regulations made by DYPU, Pune, Ambi are based on:**

**MINISTRY OF HUMAN RESOURCE DEVELOPMENT, UNIVERSITY GRANTS COMMISSION, NOTIFICATION, New Delhi, the 5th May, 2016.**

**University Grants Commission (Minimum Standards and Procedure for Award of M.PHIL./PH.D Degrees) Regulations, 2016 {in supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil. /Ph.D. Degree) Regulation, 2009, notified in The Gazette of India [No. 28, Part III- Section 4] for the week July 11-July 17, 2009}**

**No. F. 1-2/2009(EC/PS)V(I) Vol. II** - In exercise of the powers conferred by clauses (f) and (g) of sub-section of Section 26 of the University Grants Commission Act, 1956 (3 of 1956), and in supersession of the UGC (Minimum Standards and Procedure for Awards of M.Phil./Ph.D. Degree) Regulation, 2009, notified in The Gazette of India [No. 28, Part III-Section 4] for the week July 11 — July 17, 2009.

**1. Short title, Application and Commencement**

1.1. These Regulations may be called D Y PATIL UNIVERSITY, Pune, Ambi Regulations for the Award of PhD Degree from 2020. They shall apply to every school under D Y PATIL UNIVERSITY, Pune, Ambi.

1.2. They shall come into force from the date of their issuance

**2. Ph.D. programs offered by the University**

2.1. With reference to the below given names of the schools PhD program will be announced for the academic year 2020-2021

**Table 1 Schools offering PhD program**

| Sr. No. | School offering PhD Program |
|---------|-----------------------------|
| 1.      | School of Architecture      |
| 2.      | School of Engineering       |
| 3.      | School of Management        |

**Note:** Number of seats per School/Department may change depending on availability of Ph.D. vacancies under the Supervisors & suitability of the candidates.

**3. Eligibility Criteria for Admission to PhD Program**

Subject to the conditions stipulated in these Regulations, the following persons are eligible to

seek admission to the PhD program

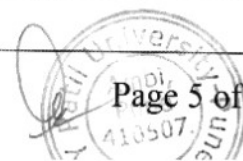
**3.1. Master's degree holders satisfying the criteria**

- A. Candidates for admission to the PhD programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- B. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC(non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures.

3.2. Candidates possessing a degree considered equivalent to Master's Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.

**4. Duration of the Programme**

- 4.1. Ph.D. program shall be for a minimum duration of three years, including course work and a maximum of six years.
- 4.2. Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the DYPU, the maximum possible extension is for 1 year. The Extension shall be granted by Research Advisory Committee (RAC) based on the



recommendations of Research Committee (RC) at department/school.

- 4.3. The women candidates and persons with disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of Ph.D. for up to 240 days.

#### **5. Procedure for admission**

- 5.1. DYPU shall admit candidates through Entrance Test and Personal interview at university. Candidates qualified in UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE (valid score)/teacher fellowship holder will be exempted from entrance test.
- 5.2. Process for admission notification and declaration of vacancies:
- 5.2.1. Respective schools of DYPU shall decide on an annual basis a predetermined and manageable number of Ph.D. scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio (as indicated in Para 6.5) , laboratory, library and such other facilities;
- 5.2.2. Head Admissions, DYPU shall notify well in advance in the institutional website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination center(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates;
- 5.2.3. DYPU shall adhere to the National/State-level reservation policy, as applicable.
- 5.3. The admission to PhD program shall be based on the criteria notified by the DYPU, keeping in view the guidelines/norms in this regard issued by the UGC and other Statutory bodies concerned, and taking into account the reservation policy of the Central/State Government prescribed from time to time.
- 5.4. The DYPU shall admit candidates through entrance test and personal interview
- 5.4.1. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the university notified in advance. Qualifying marks in Entrance Test shall be 50%.
- 5.4.2. An interview is to be organized by respective School/Department. The candidates are

required to discuss their research interest/area through a presentation before a duly constituted Research and Recognition Committee (RRC).

5.5. The personal interview shall also consider the following aspects, viz. whether:

- The candidate possesses the competence for the proposed research;
- The research work can be suitably undertaken at the School/Department;
- The proposed area of research can contribute to new/additional knowledge.

5.6. The eligible and qualified candidates shall be short-listed on the basis of merit and number of vacancies available in a School/Department for personal interview by the Research Committee of the department.

5.7. The DYPU shall maintain the list of all the Ph.D. Registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

#### **6. Recognition of Research Supervisor and Allocation**

6.1. Any regular Professor of the DYPU with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the DYPU with a Ph.D. degree and at least two research publications in refereed journals may be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of referred journals, the School/Department may relax the above condition for recognition of a person as research supervisor with reasons recorded in writing.

6.2. Only a full time regular teacher of the DYPU can act as a supervisor. The external supervisors are not allowed. However, Co-Supervisor can be allowed in inter-disciplinary areas from other departments of the same institute or from other related institutions with the recommendation and approval of Research Advisory Committee (RAC).

6.3. The allocation of Research Supervisor for a selected research scholar shall be decided by the department concerned, depending on the number of scholars per research supervisor, the available specialization among the supervisors and research interests of the scholars as indicated by them at the time of interview as a part of selection process.

6.4. In case of non-availability research expertise in interdisciplinary research area, department may appoint an external research supervisor as co-guide with the Research Supervisor of DYPU from the respective department. Further, Co-Supervisor from outside Department/College/Institution is required to comply and with recommendations of RRC and be approved by RAC.

- 6.5. A research supervisor/co-supervisor who is a Professor, at any given point of time, cannot guide more than **Three (3)** M.Phil. and **Eight (8)** Ph.D. scholars. An Associate Professor as Research Supervisor can guide up to a maximum of **Two(2)** M.Phil. and **Six (6)** Ph.D. scholars. and an Assistant Professor as research supervisor can guide up to a maximum of **One (1)**M.Phil. and **Four (4)** Ph.D. scholars.
- 6.6. Over and above the decision of allotment of number of student to the research guide is reserved with RAC, DYPU to ensure the quality of research. However, the decision of allotment of number of students shall be based on tenure at DYPU, date/year of appointment, research experience etc.
- 6.7. In case of relocation of an Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will however give due credit to the parent guide and the institution for the part of research already done.
- 6.8. **Allocation** : A candidate may work under any recognized research guide of the University, except relatives such as husband / wife / daughter / son / brother / sister, who shall indicate on the application form his/her willingness to guide the student, subject to the condition that a guide shall at one time have not more than eight research students in all faculties
- 6.9. **Conditions Governing the M. Phil/Ph. D. Research Guide ship**
- 6.9.1. If an applicant is awarded recognition as a Research Guide the recognition letter for the guide ship will be issued up to his/her attaining the age of 60 years or till he/she is a regular faculty in the University Department from which he/she had made an application for the guide ship.
- 6.9.2. Applicant shall not be eligible for making fresh application for Ph.D. guide, if he/she has attained the age of 60 years at the time of making application.
- 6.9.3. It is essential to take a co-guide (should be approved Research Guide of D Y Patil University)in case of those Ph.D. Research Guides; whose length of service as a 'Regular Faculty' is not more than two years.
- 6.9.4. No fresh Ph.D. Research Students should be registered with Ph.D. Research Guides whose length of service as a 'Regular Faculty is not more than two years.



**6.10. Guidance by Co-Guide / New Guide:**

6.10.1. In case of demise of a research guide, the student is allowed to opt and apply for a new guide according to the specialization.

6.10.2. If 50% or more work has been completed by the candidate under the deceased guide, the recognized co-guide may be appointed to supervise the work of the candidate. The name of the deceased guide should be printed on the thesis along with the name of co-guide.

**7. Course Work: Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.**

7.1. The credit assigned to the Ph.D. Coursework shall be of 16 credits.

7.2. The course work shall be treated as prerequisite for Ph.D. Preparation. A minimum of four credits shall be assigned to one or more courses on Research Methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses preparing the students for Ph.D. degree.

7.3. The distribution of credits under DYPU is as per Table 2:

Table 2 Credit Distribution for course work

| Sr. No.      | Course Title                             | Credits           |
|--------------|------------------------------------------|-------------------|
| Course-I     | Research Methodology                     | 4                 |
| Course-II    | Writing Research Proposal                | 1                 |
|              | Writing Review                           | 1                 |
|              | Seminar                                  | 2                 |
| Course-III   | *Subject specific advanced level courses | 8                 |
| <b>Total</b> |                                          | <b>16 Credits</b> |

Table 3 Examination scheme

| Name of the Course                             | Duration<br>(hrs) | Credits   | EXAMINATION SCHEME         |                         |            |
|------------------------------------------------|-------------------|-----------|----------------------------|-------------------------|------------|
|                                                |                   |           | # Continuous<br>Assessment | End<br>Semester<br>Exam | Total      |
| Research Methodology                           | 60                | 4         | 40                         | 60                      | 100        |
| Writing Research Proposal                      | 15                | 1         | 25                         | --                      | 25         |
| Writing Review                                 | 15                | 1         | 25                         | --                      | 25         |
| Seminar                                        | 30                | 2         | -                          | 50                      | 50         |
| *Subject specific advanced level<br>course - 1 | 60                | 4         | 40                         | 60                      | 100        |
| *Subject specific advanced level<br>course - 2 | 60                | 4         | 40                         | 60                      | 100        |
| <b>Total</b>                                   | <b>240</b>        | <b>16</b> | <b>170</b>                 | <b>230</b>              | <b>400</b> |

# The continuous assessment of theory course shall be evaluated on the basis of the minimum two class tests/assignments/case studies/quizzes for each theory course of 20 marks each.

**\*Subject specific advanced level course 1 and 2:** Student have an option to choose any one of the Subject Specific advanced level courses from NPTEL/MOOCs related to the Ph.D. topic/Discipline. This certification is required to be equivalent in terms of credits and duration (in hours) as defined in the above table. The course is to be recommended by Guide and approved by RAC. The student should score minimum 55% marks in the chosen course from NPTEL/MOOCs. The submission of the certificate shall carry the weightage of 4 credits and treated as waiver of evaluation of the course.

The research scholars are required to appear and pass the coursework examination as per the above assessment scheme. There after students are permitted to start the research work.

- 7.3. All candidates admitted to the Ph.D. programme shall be required to complete the course work prescribed by the School/Department during the first year.
- 7.4. Grades in the course work, including research methodology courses shall be finalized after a combined assessment by the School/Department through research and recognition committee (RRC) and shall be communicated to Controller of Examination, DYPU.
- 7.5. The Controller of Examination is responsible to conduct the coursework examination of PhD program.
- 7.6. A Ph.D. scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC





7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis.

#### 7.7. Award of Grade

| Grade | Percentage of Marks Obtained | Remarks                                            |
|-------|------------------------------|----------------------------------------------------|
| P     | 55-100                       | Pass                                               |
| F     | Below 55                     | Fail                                               |
| FX    | -----                        | Detained, Repeat the course                        |
| II    | -----                        | Incomplete-Absent for Exam but continue the course |

- **P Grade**

The grade 'P' is passing grade. The candidate acquiring 'P' grade in a course shall be declared to have passed that course.

- **F Grade**

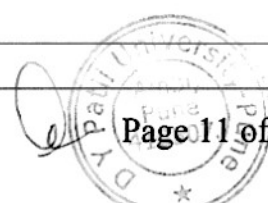
The grade 'F' shall be treated as failure grade.

- **FX Grade**

The grade 'FX' in a course is awarded by the research centre, if the student does not maintain the minimum attendance in the theory/class as prescribed by the University and/or his /her performance during the semester is not satisfactory.

- **II Grade**

Grade 'II' shall be awarded to a candidate in a course in which he has the minimum attendance as prescribed by the School and satisfactory in semester performance but could not appear for the end semester examination. Such a student shall have to appear for the End Semester Examination as and when it is conducted by the Institute.



### 7.7.1 Continuous Assessment

Table 4 Continuous Assessment

| Title                     | Head                                                                                                     | Mark |
|---------------------------|----------------------------------------------------------------------------------------------------------|------|
| Seminar                   | Performance of the student in the collection of the reference material and its understanding for seminar | 40   |
|                           | Punctuality, Enthusiasm and aptitude of student in Preparing seminar / completing the report             | 10   |
| Writing Research Proposal | Final Presentation and submission of the Report                                                          | 25   |
| Writing Review            | Final Presentation and submission of the Report                                                          | 25   |

### 7.7.2. End Semester Examination

The End Semester Examination for theory course is of two hours duration and 60 Marks.

## 8. Research Advisory Committee and its functions

- 8.1. There shall be a Research Advisory Committee, or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the Institution concerned, for each Ph.D. scholar. The Research Supervisor of the scholar shall be the Convener of this Committee. This committee shall have the following responsibilities:
- 8.1.1. To review the research proposal and finalize the topic of research
  - 8.1.2. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
  - 8.1.3. To periodically review and assist in the progress of the research work of the research scholar.
- 8.2. A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six-monthly progress reports shall be submitted by the RAC to the school and department with a copy to research scholar.
- 8.3. In case the progress of the research is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. Further, if the research scholar fails to implement these suggestions or corrective measures, the Research Advisory

Committee shall submit the recommendations in writing with specific reasons for cancellation of the registration of the research scholar to School/Department and Controller of Examinations for necessary action.

8.4. After submission of a research proposal by a student for registration to the Ph.D. degree course, the student along with his guide should be called before an (RAC) Scrutiny Committee for oral presentation. The Scrutiny Committee will go into the details of the proposal and will instruct the student to submit the proposal or improve the proposal or resubmit the proposal for the consideration of the Committee. The quorum for the Scrutiny Committee shall be three members. In case of the resubmission of the proposal, specific written instructions should be given to the concerned candidate. Resubmitted proposal will be forwarded to the Chairman of the RAC along with his remarks to the University office.

8.5. The procedure and processing of applications will be as follows:

All applications will be placed before the Research Advisory Committee for provisional approval of titles of proposed research work. In case of revision recommended by the Research Advisory Committee, the concerned Dean and HOD shall approve the revised proposal

- i) After the approval of the title and synopsis by the Research Advisory Committee, the candidate will be in the first instance asked to pay the necessary fees and on receipt of fees, a provisional admission letter will be issued which will be confirmed on the fulfillment of eligibility conditions.
- ii) On approval by the Research Advisory Committee, the final letter will be issued to the candidate, copy of which will be endorsed to all concerned.
- iii) In case of non-confirmation of the topic by the Research Advisory Committee leading to rejection, the fees except registration fee and eligibility fees will be refunded with a deduction of 10% administrative expenses.
- iv) After final registration, the title along with details of the student, guide, and institute shall be announced on the web to avoid duplication.

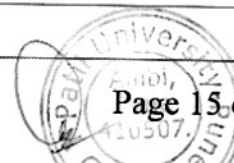
## **9. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.**

9.1. Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-

clauses 7.7 above, as the case may be, the Ph.D. scholar shall be required to undertake research work and produce a draft dissertation/thesis within a reasonable time, as stipulated by the department/school based on these Regulations.

- 9.2. At least 3 months prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before the Research Advisory Committee of the Institution concerned which shall also be open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the Research Advisory Committee.
- 9.3. The Controller of Examination of the DYPU shall evolve mechanism using well developed software and gadgets to detect plagiarism and other forms of academic dishonesty. While submitting for evaluation, the dissertation/thesis shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.
- 9.4. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the University/School, of whom one examiner may be from outside the state/country. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/researchers.
- 9.5. The public viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination. If one of the evaluation reports of the external examiner in case of Ph.D. thesis is unsatisfactory and does not recommend viva-voce, the DYPU shall send the thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected, and the

|                                                                                                                                                                                                                                                                                                                                     |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| research scholar shall be declared ineligible for the award of the degree.                                                                                                                                                                                                                                                          |
| 9.6. The DYPU shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the dissertation/thesis.                                                                                                                            |
| <b>10. Academic, administrative and infrastructure requirement to be fulfilled by Schools / Departments for getting recognition for offering Ph.D. program</b>                                                                                                                                                                      |
| 10.1. Post-graduate School/Department may be considered eligible to offer Ph.D. Programme only if they satisfy the availability of eligible Research Supervisors, required infrastructure and supporting administrative and research promotion facilities as per these Regulations.                                                 |
| 10.2 Post-graduate School/Department may also access the required facilities of the neighboring Institutions/Colleges, or of those Institutions/Colleges/R&D laboratories/Organizations which have the required facilities.                                                                                                         |
| 10.3 Schools/Department with adequate facilities for research as mentioned below shall offer Ph. D. programs:                                                                                                                                                                                                                       |
| 10.3.1 In case of science and technology disciplines, research laboratories with sophisticated equipment as specified by the School/Department concerned with provision for adequate space along with computer facilities and essential software, and uninterrupted power and water supply to be made available to research scholar |
| 10.3.2 Earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/ library for reading, writing and storing study and research materials;                                                |
| <b>11. Treatment of Ph.D. Through Distance Mode</b>                                                                                                                                                                                                                                                                                 |
| 11.1 As per UGC Regulations or any other Rule or Regulation, for the time being in force, DYPU shall not conduct Ph.D. Programs through distance education mode till any further notification by UGC.                                                                                                                               |
| <b>12. Award of Ph.D. degrees prior to Notification of these Regulations, or degrees awarded by foreign Universities</b>                                                                                                                                                                                                            |
| 12.1. Award of degrees to candidates registered for the Ph.D. programme on or after July 11, 2009 till the date of Notification of these Regulations shall be governed by the provisions of the UGC (Minimum Standards and procedure for Awards of M.Phil./Ph.D. Degree) Regulation, 2009.                                          |



12.2. If the Ph.D. degree is awarded by a Foreign University, the Indian Institution considering such a degree shall refer the issue to a Standing Committee constituted by the concerned institution for the purpose of determining the equivalence of the degree awarded by the foreign University.

### **13. Progress Reports**

13.1. Students have to submit minimum six progress reports at the interval of six months duration. Students can have a presentation of their work in front of research advisory committee. Reports of the same should be communicated to Head of Research Centre.

13.2. Each candidate shall submit to the Head of Research Centre through his guide six monthly progress reports, in a format mentioned in Annexure 4. If a candidate fails to submit two consecutive progress reports as stated above, he/she shall be asked to explain the reasons, if any, for the same within a period of one month. After one month all such cases will be placed before the RAC for its recommendations and on recommendations of the RAC, the Vice Chancellor will decide about the cancellation of registration.

### **14. Publication Requirement**

Ph.D. scholars must publish research papers as mentioned below before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.

14.1. Mandate ONE peer reviewed research publication in referred Journal (indexed in SCI) with good impact factor in relevant area of research where in the research scholar would mention her/his affiliation as "Research Scholar, Name of the School, Name of the Department, D Y Patil University, Pune, Ambi" along with email ID of university (name@dypatiluniversity.edu.in). **AND**

14.2. At least ONE peer reviewed research publication indexed in SCOPUS list of journals with good Impact factor in relevant area of research. **AND**

14.3. At least one peer reviewed research publications indexed in SCOPUS Journal **or** presentation on two International WoS/SCOPUS indexed/IEEE conferences **or** TWO UGC listed journals with good impact factor in relevant area of research.

14.4. Research publications published in Journals through conferences will not be considered in category (13.1) and (13.2) for publication.

14.5. In all above publications first author should be research scholar followed by supervisor and



joint supervisor if any.

#### **15. Synopsis Submission**

At the end of three years candidate shall give a presentation of their work in front of research advisory committee. The work will be followed by submission of synopsis to Head of Research Centre. Three copies of synopsis should be submitted to Head of Research Centre through the guide, HOD and head of an institution with recommendations from research advisory committee. All the copies should be submitted to Head of Research Centre.

##### ***Change in Title:***

A candidate may be allowed to change the title of his/her research work subject to approval by the research advisor committee and provided he/she applies for the change at least six months before the anticipated date of submission of his/her thesis or earlier. The candidate shall submit his application duly recommended by the guide, through the concerned H.O.D. If the change involves an altogether different topic from what was registered, the candidate shall not be allowed submission of his thesis unless he/she works for four more terms i.e. minimum prescribed period of Ph.D. study from the date of permission.

##### ***Change of Guide***

A candidate maybe permitted by the Research Advisory Committee to change his guide provided he/she applies for the change and the application for change is consented by both the guides i.e. present and proposed. In case of dispute Vice - Chancellor's decision will be final.

#### **16. Appointment of Referee**

- Research guide/HOD/HOI will suggest and forward the list of four referees.
- Two of them should be from Maharashtra.
- And remaining two from out of Maharashtra.
- Contact details of the referee shall be shared with Head of Research Centre office on Annexure 9.
- Hon'ble Vice Chancellor will select two of them for further evaluation. Synopsis shall be forwarded to the selected referees for further evaluation. (Work will be done by Head of Research Centre office)
- The external referees should communicate their willingness or otherwise to evaluate



the Ph.D. thesis within one month of the receipt of University letter.

- External referees should submit their evaluation reports within one month of the receipt of the thesis in the prescribed proforma as mentioned in Annexure 13 along with a detailed report.

### **17. Final Thesis Submission**

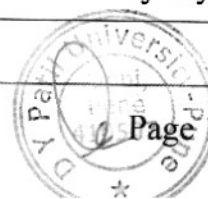
As per the recommendation of referees, students will do all the necessary corrections in their research work. Students will be given six months time to write and submit their final thesis after the remarks of referees. Initially, students will submit two spiral bound copies of the thesis. Those copies shall be sent to referees again for final confirmation. After confirmation, students will be advised to go for final binding of the thesis. Students need to submit three copies of the final thesis.

#### **A) Submission**

1. A candidate shall make a presentation of his / her thesis before Research Advisory Committee at least two months prior to submission of the thesis. The research student shall incorporate suggestions made by the committee and then only the thesis shall be submitted.
2. A candidate may submit his thesis any time during the year, after the completion of the minimum prescribed period of Three years with completion of Pre - Ph. D. theory course work.
3. A candidate has to submit 3 hard copies of the thesis and soft copies in 1 CD along with a certificate signed by the Supervisor and attested by the Head of the Department through Head of Research Centre to the Controller of Examination. (Extra copies if required by student can be kept with school)
4. The procedure for appointment of examiners will be undertaken soon after the candidate submit his/her synopsis and pays the necessary deposit. In case the candidate does not submit his/her thesis within a period of six months the deposit will stand forfeited and he/she will have to pay a fine of Rs. 500/- per month of submission. The panel of examiners recommended will be valid for one year only.

#### **B) The unanimous opinion of the external referees shall be accepted by the Vice-Chancellor.**

The decision shall be in accordance with the opinions of the majority of external



referees. In the event of rejection by one external referee, next external referee shall be appointed by the Vice-Chancellor. If revision of the thesis is suggested by one of the external referees, the thesis shall be revised by the candidate for resubmission as suggested by the referee. The revised thesis shall be sent to the same referee (who has suggested the revision) for evaluation. If revision of the thesis is suggested by both the external referees, thesis is considered for resubmission provided that the following conditions are fulfilled.

Thesis rejected by all the external referees will not be considered for resubmission:

- a) The revised thesis will have to be submitted by the candidate within the maximum period of two years.
- b) The revised thesis shall be sent to the same referees for evaluation along with both the previous reports.

#### **18. Open Defense and Viva Voce**

- There shall be a viva-voce with Open Defense System.
- An open defense shall be conducted only after receiving positive reports from the referees.
- Internal referee (Ph.D. guide) and one external referee shall conduct the Viva Voce of the candidate.
- The subject expert or faculty nominated by Hon'ble Vice Chancellor shall act as a Chairperson. (The Head of the Department or the Dean of the concerned faculty or the senior teacher in the subject concerned nominated by the Vice - Chancellor shall act as a Chairperson for the open defense.)
- Remaining external referees can forward their concerns or queries to the selected referees for further discussion at the time of viva-voce i.e. other external referee may send questions in writing to the referees attending the viva - voce to seek clarification on any point in the thesis. However, queries raised by the external referees in their reports should be placed before the viva-voce.
- A chairperson, an external referee, and internal referee shall prepare a joint report of open defense and forward it through Head of Research Centre to Hon'ble Vice Chancellor and the respective School office.
- The recommendations shall be specific and final.
- They shall recommend either the award of the degree to the candidate or a fresh open



defense within six months if the performance of the candidate is unsatisfactory.

#### **19. Declaration of Result**

- The date of viva voce would be considered to be the date of declaration of result.
- On the final approval of the Hon'ble Vice Chancellor, the respective School shall declare the result after the fulfillment of all the conditions.

#### **20. Depository with INFLIBNET**

- 20.1. Following the successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all.
- 20.2. Prior to the actual award of the degree, the Controller of Examination of DYPU shall issue a provisional certificate to the effect that the Degree has been awarded in accordance with the provisions of UGC Regulations, 2016.

#### **21. Redressal of Grievance**

- 21.1. In case of any dispute between a candidate and Research Supervisor, the Committee consisting of the following shall examine the matter and report to the Vice Chancellor of the DYPU, whose decision shall be final. (a) Dean of the Faculty concerned (Chairman); (b) Nominee of the Vice Chancellor; (c) The Head, Place of Research (If the complaint is against Head/the Dean, shall not participate in the proceedings of the meeting. In that case, additional member/s may be appointed by the Vice Chancellor to replace them.)
- 21.2. The report shall include, among other things, specific recommendations. The report shall be submitted to the Vice Chancellor of DYPU.

#### **22. Research Collaboration Center (RCC)**

- 22.1. Research Collaboration Centers (RCCs): DYPU has/shall have collaboration with research organizations/institutes/ academic research centers with an aim to contribute for the evidence-based research for the contribution in the knowledge of respective area/field. This collaboration focused on the exchange of knowledge, expertise and resources with each other. The Institutes is identified on the basis of following criteria to be considered as Research Collaboration Centers (RCC's) of DYPU, Pune, Ambi:
- 22.1.1. The research centers / laboratories/ institutes engaged in research should have minimum five years existence with the required research infrastructure such as

technical labs, equipment's, computer labs, library resources etc.

22.1.2. In case of the requirement of Co-guide, the research guide has to be approved and recognized by DYPU, Pune, Ambi.

22.1.3. Research scholar has to comply with the credit requirements as laid down such as course work, examination, presentations, pre-requisite course(s) and all the defined norms for completion of PhD Programme.

### **23. Amendment and Repeal of ordinance**

23.1. Notwithstanding all that has been stated above, the prescribed authority has the right to modify, amend and/or repeal the above ordinance, or any part thereof, from time to time.

~~~~~ End of the Fourth Ordinances ~~~~~





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Section 4

4.9 Annexure XV- Grievance Redressal Committee

D Y Patil University Pune Ambi
MIDC Road, Ambi, Pune
www.dypatiluniversitypune.edu.in



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XIV.
Annexure 4.17

Ref: VC/GC/2023-2024

Grievance Redressal Committee

**The Grievance Redressal Committee has been re-constituted with the following members
Grievance Redressal Committee from the Academic Year 2023-2025**

| Sr. No | Particulars | Members | Board |
|--------|--|------------------------|---|
| 1 | Prof Dr Sayalee Gankar | Vice Chancellor | Chairperson |
| 2 | Prof Dr Pranav Charkha | Dean, SOET | One Dean of the Faculty to be nominated by BOM |
| 3 | Prof Dr Mukesh Patil | Principal, RAIT, | One member to be nominated by the Board of Management from amongst themselves |
| 4 | Prof Dr Shriramshastri Chavali
Prof Shraddha Samundra | Teacher 1
Teacher 2 | Two teachers to be nominated by the Vice-Chancellor out of which one shall be female |
| 5 | Mr Abhijit Patil | Office Superintendent | One non-teaching staff from amongst the non-teaching staff to be nominated by the Vice-Chancellor |
| 6 | Mr Ashok Patil | Registrar | Member Secretary |

Date:



Registrar
D Y Patil University
Pune, Ambi

CC.

1. Office of the Vice Chancellor
2. HoI / Heads / HoD
3. COE/DyCOE
4. Registrar office and OS